NEW APPLICATION TRANSMITTAL - UTILITY

Transmitted herewith for filing is the patent application of Brian Edward Richardson for "COMPACT LIGHTING SYSTEM WITH IMPROVED LIGHT TRANSMISSION AND COLOR FILTERS".

1. Type Of Application

This application is an original utility application.

2. Benefit Of Prior Application

Applicant claims no benefit of prior application.

3. Fee Calculation

CLAIMS AS FILED

Basic Fee = \$385.00

Total: $28 - 20 = 8 \times $9.00 = 72.00$ Ind.: $2 - 3 = 0 \times $43.00 = 0.00$ Multiple dependent: $0 \times $145.00 = 0.00$

Basic Filing Fee Calculation = \$457.00

4. Small Entity Statement

Applicant is entitled to Small Entity Status.

5. Request for Non-Publication Under 35 U.S.C. 122(b)(2)(B)(i)

Applicant submits herewith a Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

6. Fee Payment Being Made At This Time

Required Fees:

x Basic Filing Fee \$457.00

Total Fees \$457.00

Please charge the above and any deficiencies in fees to Deposit Acct # 11-1245.

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- 7. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)
 - _11 Pages of specification
 - 6 Pages of claims
 - _1 Page of abstract
 - 16 Sheets of drawings

8. Declaration or Oath

A Combined Declaration and Power of Attorney executed by each inventor is enclosed herewith.

9. Certificate of Mailing (37 CFR 1.10): I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail in an envelope addressed to: Mail Stop New Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Date: October 14, 2003

Registration No: 32,737 Telephone: (408) 778-3440 Keith Kline

THE KLINE LAW FIRM, P.C.

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Morgan Hill, CA 95037-5925

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket Number

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor | | BRIAN | EDWARD | RICHARD | вои |
|------------------------|---------------------|--------------------|---------------------|---------|---------------------|
| Title | COMPACT LIGHT TH | LIGHTII RANSMIS | NG SYST: SION AN | EM WITH | IMPROVED FILTERS |
| Attorney Docket Number | | 58 | 2 | | |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Oct. 14, 2003 408.778.3440 Keith Kline Telephone number Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.